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PTO/SB/01 (10-00)
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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	5/1245 PCT
	First Named Inventor	RAINER, W.
	COMPLETE IF KNOWN	
	Application Number	09 / 787,974
	Filing Date	March 23, 2001
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

New substituted Indolinones, their preparation and their use as medicaments

(Title of the Invention)

the specification of which

☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) **March 23, 2001** as United States Application Number or PCT International (if applicable).

Application Number **09/787,974** and was amended on (MM/DD/YYYY) _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
198 44 003.0	DE	09/25/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
199 37 496.1	DE	08/07/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

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Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

Rainer

(first and middle [if any])

Family Name

WALTER

or Surname

Inventor's
Signature

R. Ueller

Date

30.4.2001

Residence: City

Biberach

State

Country

DE

Citizenship

DE

Mailing Address

Probststrasse 3

Mailing Address

City

Biberach

State

ZIP

D-88400

Country

DE

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

Wolfgang

(first and middle [if any])

Family Name

GRELL

or Surname

Inventor's
Signature

W. Grell

Date

June 27, 2001

Residence: City

Biberach

State

Country

DE

Citizenship

DE

Mailing Address

Geschwister-Scholl-Strasse 18

Mailing Address

City

Biberach

State

ZIP

D-88400

Country

DE

☒ Additional inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → +

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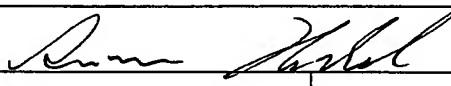

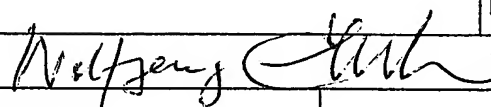
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Armin		HECKEL	
Inventor's Signature 		Date <u>2.5.07</u>	
Residence: City Biberach	State	Country DE	Citizenship DE
Mailing Address Geschwister-Scholl-Strasse 71			
Mailing Address			
City Biberach	State	ZIP D-88400	Country DE
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Frank		HIMMELSBACH	
Inventor's Signature 		Date <u>May 5, 01</u>	
Residence: City Mittlbiberach	State	Country DE	Citizenship DE
Mailing Address Ahornweg 16			
Mailing Address			
City Mittlbiberach	State	ZIP D-88441	Country DE
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Wolfgang		EBERLEIN	
Inventor's Signature 		Date <u>June 21, 01</u>	
Residence: City Biberach	State	Country DE	Citizenship DE
Mailing Address Obere Au 6			
Mailing Address			
City Biberach	State	ZIP D-88400	Country DE

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Case No. 5/1245 PCT

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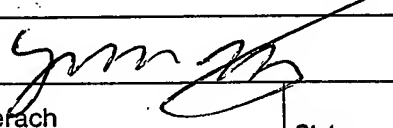
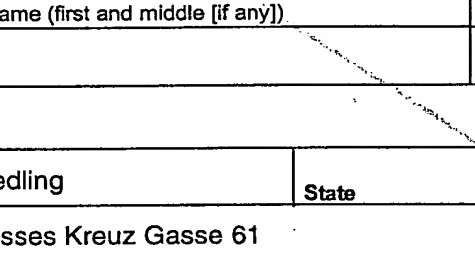

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DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 2 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Gerald		ROTH	
Inventor's Signature 		Date <u>7.5.01</u>	
Residence: City	Biberach	State	Country DE
Citizenship DE			
Mailing Address Akazienweg 47			
Mailing Address			
City	Biberach	State	ZIP D-88400
		Country	DE
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jacobus C.A.		van Meel	
Inventor's Signature 		Date	
Residence: City	Moedling	State	Country AT
Citizenship NL			
Mailing Address Weisses Kreuz Gasse 61			
Mailing Address			
City	Moedling	State	ZIP A-2340
		Country	AT
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Norbert		REDEMANN	
Inventor's Signature 		Date <u>15.5.01</u>	
Residence: City	Biberach	State	Country DE
Citizenship DE			
Mailing Address Kohlesrain 48			
Mailing Address			
City	Biberach	State	ZIP D-88400
		Country	DE

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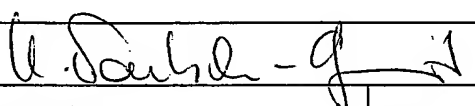
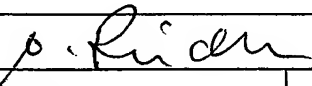
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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>3</u> of <u>3</u>
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Walter		SPEVAK	
Inventor's Signature		Date	
Residence: City Oberrohrbach	State	Country AT	Citizenship AT
Mailing Address Leoberndorfer Strasse 36			
Mailing Address			
City Oberrohrbach	State	ZIP A-2105	Country AT
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Ulrike		TONTSCH-GRUNT	
Inventor's Signature 		Date 6.9.2001	
Residence: City Baden	State	Country AT	Citizenship AT
Mailing Address Oetkerweg 23			
Mailing Address			
City Baden	State	ZIP A-2500	Country AT
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Thomas		von RUEDEN	
Inventor's Signature 		Date 11.07.01	
Residence: City Planegg	State	Country DE	Citizenship DE
Mailing Address Walter-Sartorius-Strasse 6			
Mailing Address			
City Planegg	State	ZIP D-81152	Country DE

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(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 5/1245 PCT

First Named Inventor RAINER, W.

COMPLETE IF KNOWN

Application Number 09 / 787,974

Filing Date March 23, 2001

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

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New substituted Indolinones, their preparation and their use as medicaments

(Title of the Invention)

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☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) March 23, 2001

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
198 44 003.0	DE	09/25/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
199 37 496.1	DE	08/07/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION — Utility or Design Patent Application

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<div style="font-size: 1.5em; font-weight: bold;">28505</div> <div style="font-size: 0.8em;">PATENT TRADEMARK OFFICE</div>					
Name					
Address					
Address					
City		State		ZIP	
Country	Telephone			Fax	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
NAME OF SOLE OR FIRST INVENTOR :			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Rainer			Family Name WALTER or Surname		
Inventor's Signature					Date
Residence: City Biberach		State	Country DE	Citizenship DE	
Mailing Address Probststrasse 3					
Mailing Address					
City Biberach		State	ZIP D-88400	Country DE	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Wolfgang			Family Name GRELL or Surname		
Inventor's Signature					Date
Residence: City Biberach		State	Country DE	Citizenship DE	
Mailing Address Geschwister-Scholl-Strasse 18					
Mailing Address					
City Biberach		State	ZIP D-88400	Country DE	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>3</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Armin		HECKEL	
Inventor's Signature		Date	
Residence: City	Biberach	State	Country DE
Citizenship		DE	
Mailing Address Geschwister-Scholl-Strasse 71			
Mailing Address			
City	Biberach	State	ZIP D-88400
Country		DE	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Frank		HIMMELSBACH	
Inventor's Signature		Date	
Residence: City	Mittelbiberach	State	Country DE
Citizenship		DE	
Mailing Address Ahornweg 16			
Mailing Address			
City	Mittelbiberach	State	ZIP D-88441
Country		DE	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Wolfgang		EBERLEIN	
Inventor's Signature		Date	
Residence: City	Biberach	State	Country DE
Citizenship		DE	
Mailing Address Obere Au 6			
Mailing Address			
City	Biberach	State	ZIP D-88400
Country		DE	

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ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Gerald		ROTH	
Inventor's Signature		Date	
Residence: City	Biberach	State	Country DE
Mailing Address		Citizenship DE	
Akazienweg 47			
Mailing Address			
City	Biberach	ZIP	D-88400
State		Country DE	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jacobus C.A.		van Meel	
Inventor's Signature		Date 18.05.2001	
Residence: City	Moedling	State	Country AT
Mailing Address		Citizenship NL	
Weisses Kreuz Gasse 61			
Mailing Address			
City	Moedling	ZIP	A-2340
State		Country AT	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Norbert		REDEMANN	
Inventor's Signature		Date	
Residence: City	Biberach	State	Country DE
Mailing Address		Citizenship DE	
Kohlesrain 48			
Mailing Address			
City	Biberach	ZIP	D-88400
State		Country DE	

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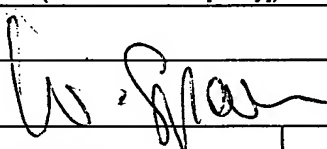
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Walter		SPEVAK	
Inventor's Signature 		Date 14.05.01	
Residence: City	Oberrohrbach	State	Country AT
Citizenship AT			
Mailing Address Leoberndorfer Strasse 36			
Mailing Address			
City	Oberrohrbach	State	Country AT
		ZIP	A-2105
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Ulrike		TONTSCH-GRUNT	
Inventor's Signature		Date	
Residence: City	Baden	State	Country AT
Citizenship AT			
Mailing Address Oetkerweg 23			
Mailing Address			
City	Baden	State	Country AT
		ZIP	A-2500
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Thomas		von RUEDEN	
Inventor's Signature		Date	
Residence: City	Planegg	State	Country DE
Citizenship DE			
Mailing Address Walter-Sartorius-Strasse 6			
Mailing Address			
City	Planegg	State	Country DE
		ZIP	D-81152

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